

# Modular Semantics for LLVM IR

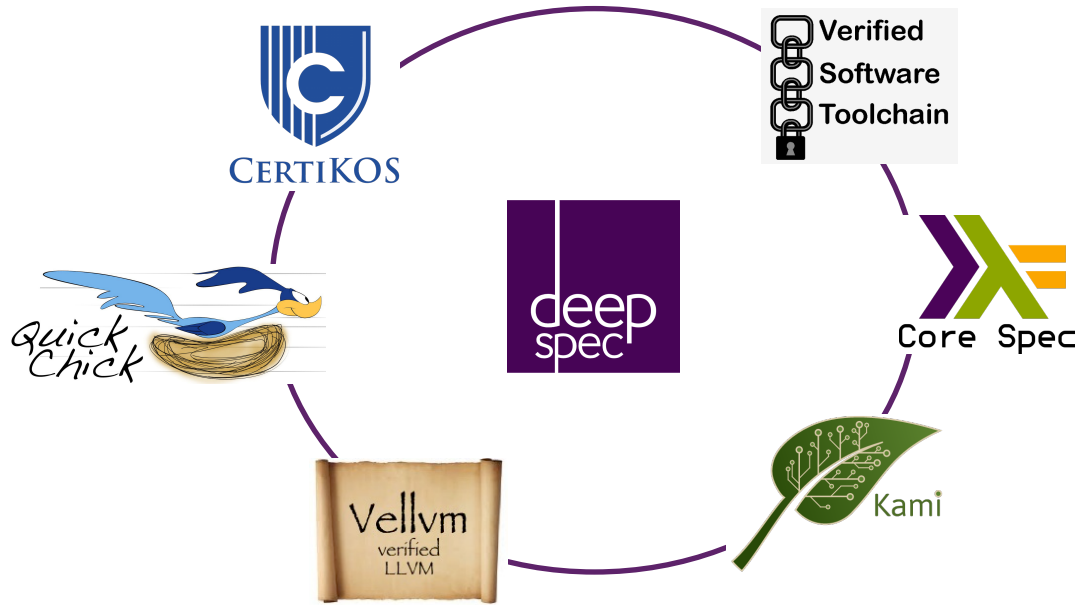
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DeepSpec @ PLDI  
2018



# Collaborators

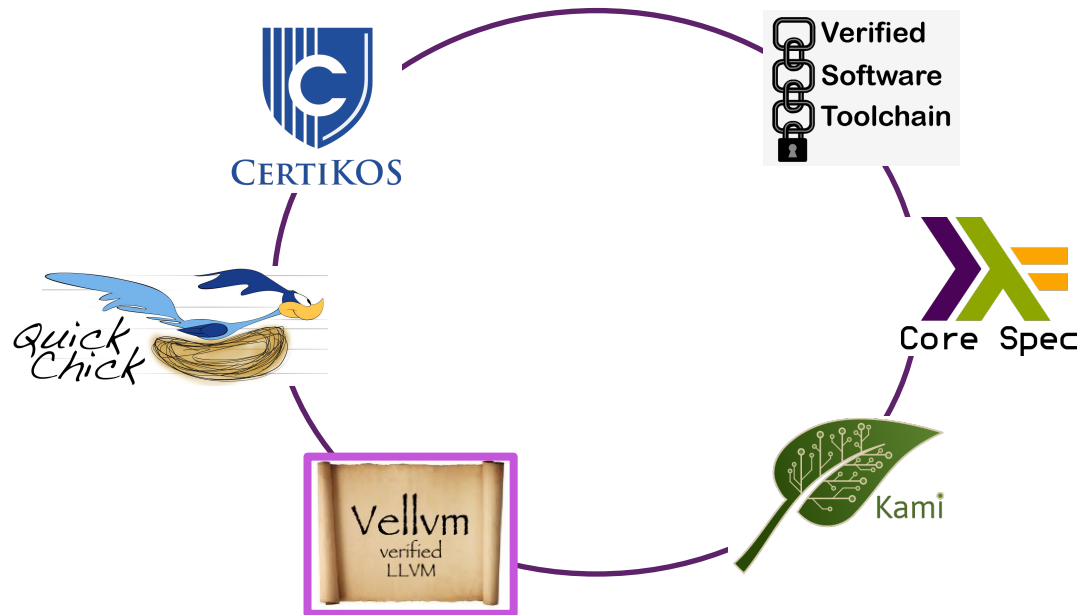
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[deepspec.org](https://deepspec.org)

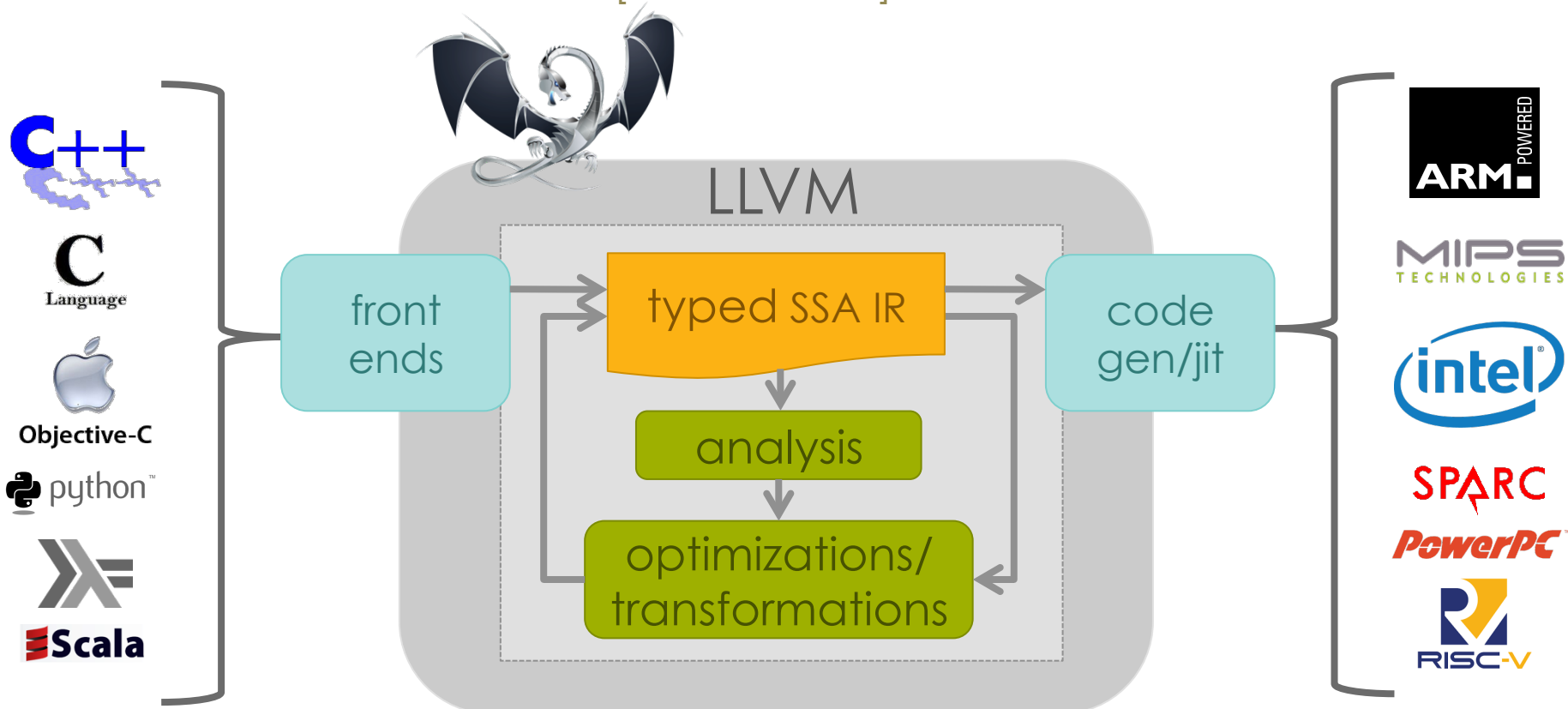
# Vellvm: Verified LLVM IR



- Compiler intermediate representation semantics
- Parameterized by the memory model
- [github.com/vellvm/vellvm](https://github.com/vellvm/vellvm)

# LLVM Compiler Infrastructure

[Lattner et al.]

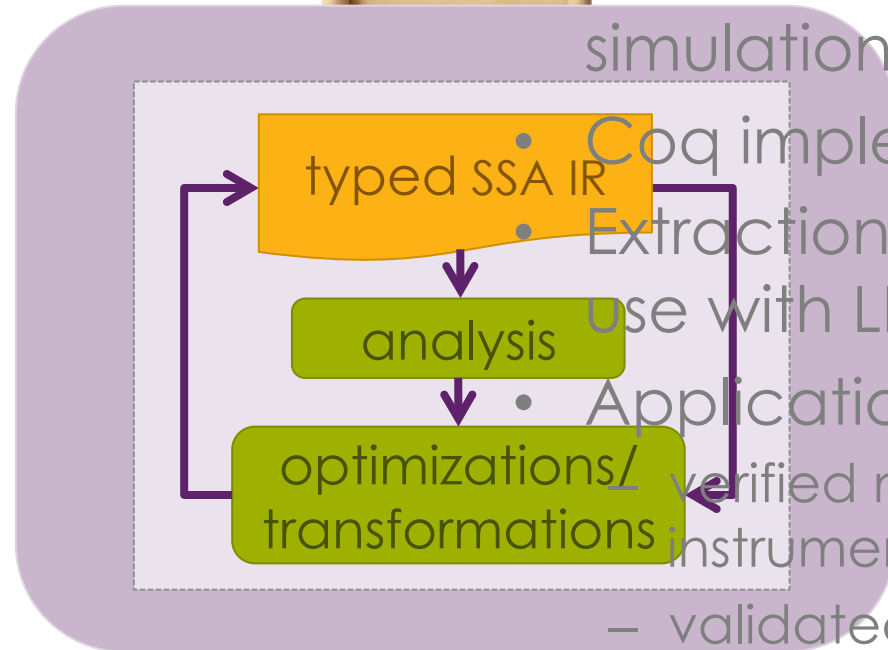


# The Vellvm Project

[Zhao et al. POPL 2012, CPP 2012, PLDI 2013, Mansky et al. CAV2015]

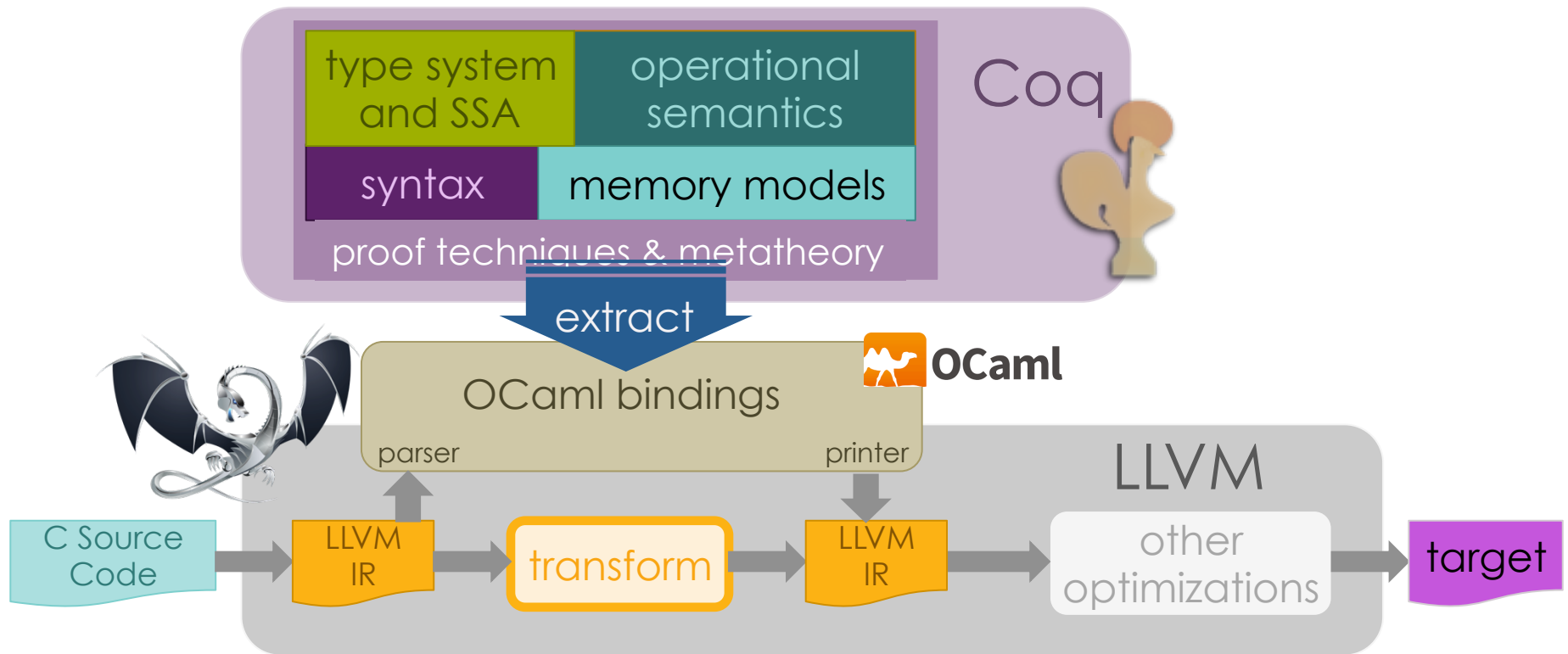


- Formal semantics
- Tools for creating simulation proofs

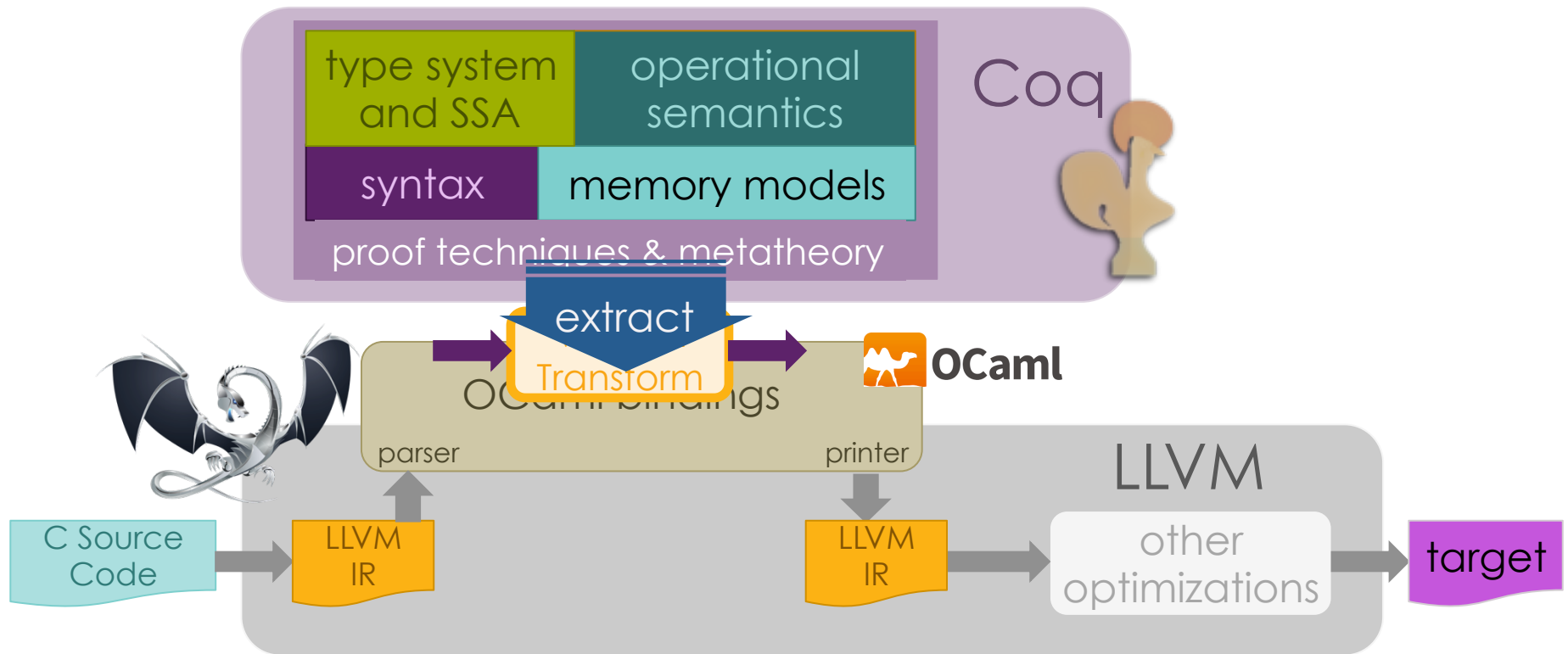


- Coq implementation
- Extraction of passes for use with LLVM compiler
- Applications:
  - verified memory safety instrumentation
  - validated optimizations

# VeLLvm Framework



# Vellvm Framework





# LLVM IR Semantics



SSA  $\approx$  functional program  
[Kelsey 1995 / Appel 1998]  
+

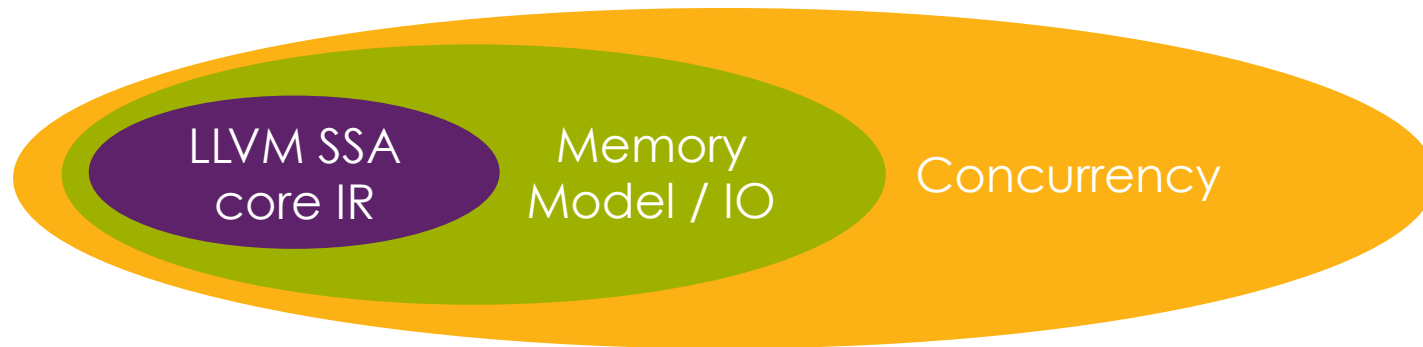
- Undefined values / poison
- Effects
  - structured heap load/store
  - system calls (I/O)
- Types & Memory Layout
  - structured, recursive types
  - type-directed projection
  - type casts

We know how to model this and prove properties about the models.

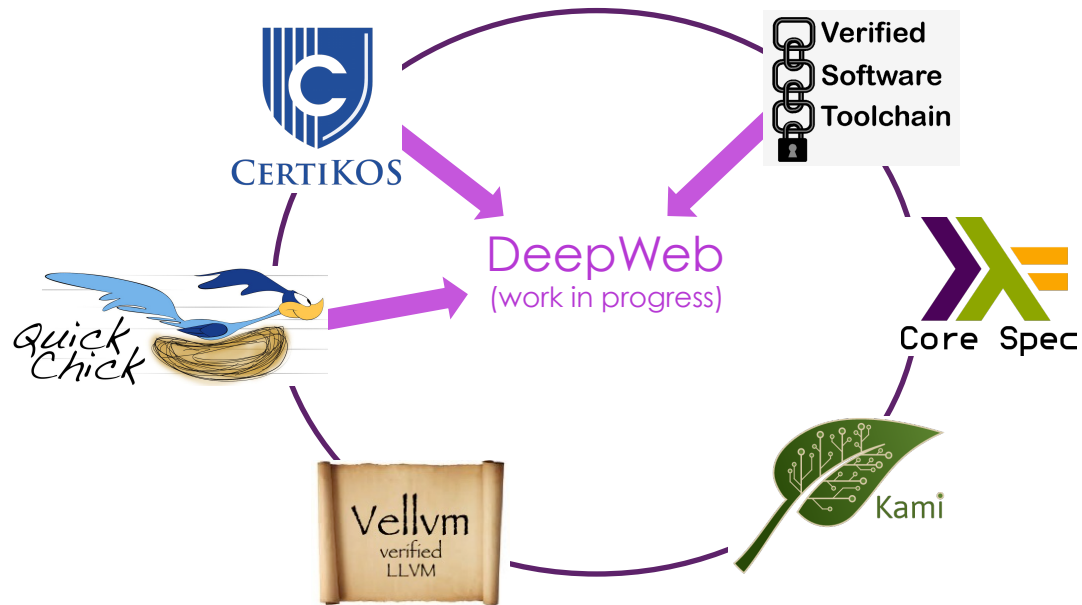
We are starting to figure out how to decompose them *modularly*.

# Modular Semantics

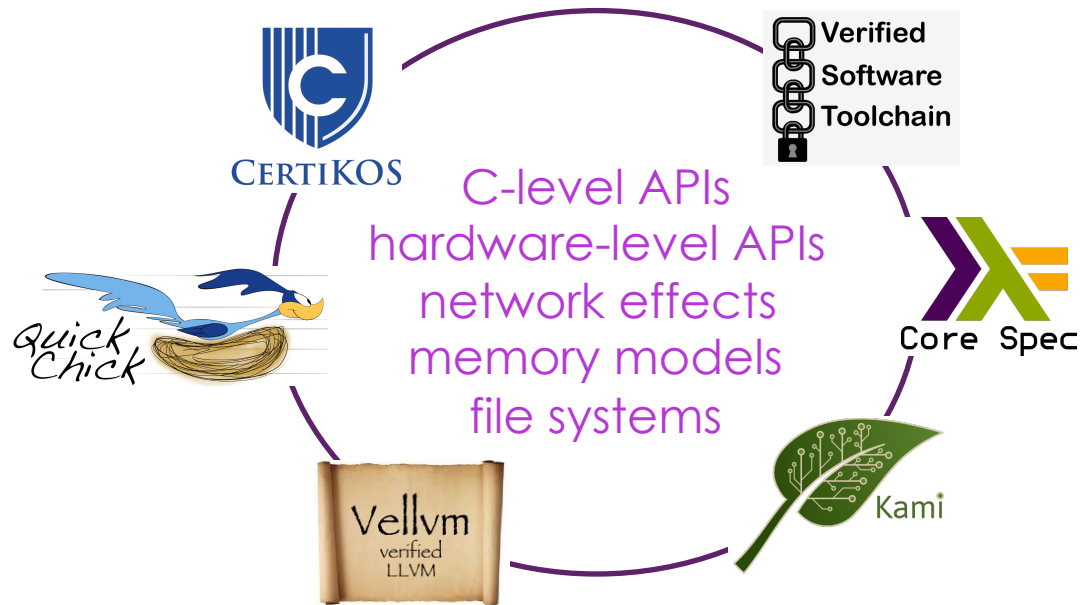
- Factor out memory model [CAV 15]
  - similar to linking/separate compilation
- For:
  - concurrency
  - more extensibility/robustness to changes
  - better support for casts [PLDI 15]



# DeepSpec Integration Experiments



- (Eventual) Goal: web server implemented in C
- Running on top of CertiKOS
- Verified using VST
- Intermediate steps checked by QuickChick



- Coq descriptions of many different systems
- Need a common way of describing their behaviors
  - various levels of abstraction
  - different interfaces
  - modularity / extensibility

1. Explain Interaction Trees
2. Describe "toy" Vellvm
3. Come back to DeepSpec

# Interaction Trees

Coq adaptation of  
Freer Monads, More Extensible Effects [Kiselyov & Ishii, 2015]

(see also: algebraic effects)

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)  
.
```

♦ (potentially) infinite structure

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)
```

•



named "M" (for "monad")

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)  
.
```

parameterized by the type of  
observable events

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)  
.
```

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)  
.
```

yielding a  
value of type X

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)
```

•

♦ yield a result (return of the monad)

```
CoInductive M (Event : Type -> Type) X :=
| Ret (x:X)
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)
| Tau (k: M Event X)
```

•

- ◊ "visible" effect  $e$   
interacts with environment to get a value of type  $Y$   
 $k$  – the continuation that accepts the response

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)
```

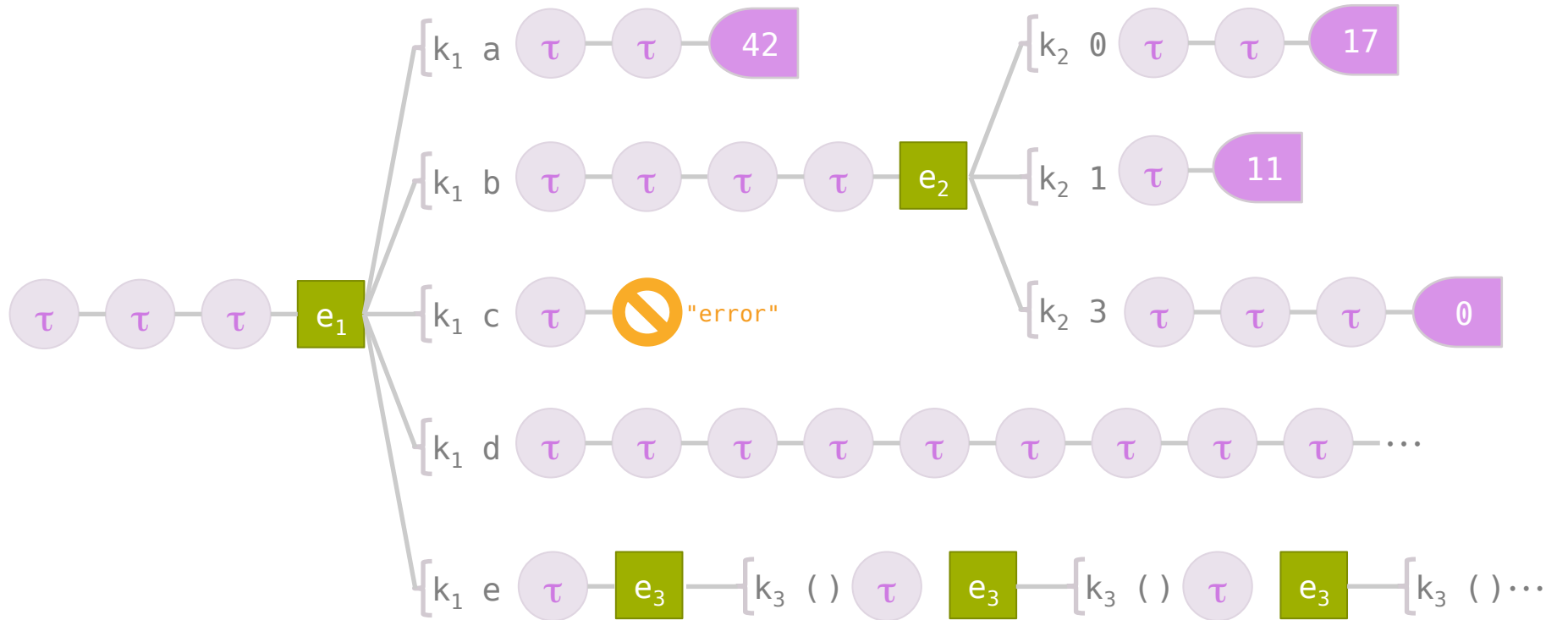
•

↳ internal, hidden step of computation

```
CoInductive M (Event : Type -> Type) X :=  
| Ret (x:X)  
| Vis {Y: Type} (e : Event Y) (k : Y -> M Event X)  
| Tau (k: M Event X)  
| Err (s:string)
```

•

♦ error / aborted computation  
(needed only for convenience)





# Good Qualities of Interaction Trees

- $(M \ E)$  is a **monad**
  - bind is defined coinductively
- Behavioral Equivalences
  - **strong bisimulation**
  - up to Tau (insert a finite no. of Tau's anywhere)
  - not too hard to define new simulation relations
- **Extractable** from Coq
  - yields a way of (externally) running computations described by interaction trees
  - interpretation of events can be defined in the metalanguage (e.g. OCaml)

# Applications

- **Vellvm Semantics**
  - control-flow graphs, LLVM memory model
- **DeepWeb**
  - web server events (HTTP get/put)
- **Verifiable Software Toolchain**
  - socket API

1. Explain Interaction Trees
2. Describe "toy" Vellvm
3. Come back to DeepSpec

# LLMV IR Memory Model

(\* IO interactions for the LLVM IR \*)

Inductive IO : Type -> Type :=

```
| Alloca : ∀ (t:dtyp), (IO dvalue)
| Load   : ∀ (t:dtyp) (a:dvalue), (IO dvalue)
| Store  : ∀ (a:dvalue) (v:dvalue), (IO unit)
| GEP    : ∀ (t:dtyp) (v:dvalue) (vs:list dvalue), (IO dvalue)
| ItoP   : ∀ (i:dvalue), (IO dvalue)
| PtoI   : ∀ (a:dvalue), (IO dvalue)
| Call   : ∀ (f:string) (args:list dvalue), (IO dvalue)
```

output values of  
the Call event

type of the result  
provided by the  
environment

# Memory Interaction Interface

Module Mem.

**Definition** addr := nat.

**Inductive** val := | N (n:nat) | A (a:addr) .

**Inductive** IO : Type -> Type :=

| **Alloca** : IO addr

| **Load** :  $\forall$  (a:addr), (IO val)

| **Store** :  $\forall$  (a:addr) (v:val), (IO unit)

| **Call** :  $\forall$  (f:funid) (v:val), (IO val)

.

Operations (simplified)

**Definition** Interactions (X:Type) := M IO X.

**Global Instance** functor\_IO : Functor Interactions := (@mapM IO).

**Global Instance** monad\_IO : (@Monad Interactions) (@mapM IO) := ...

**Global Instance** equiv\_ixns {X} : (@Equiv (Interactions X)) := EquivUpToTau eq.

End Mem.

# Memory Interaction Interface

Module Mem.

**Definition** addr := nat.

**Inductive** val := | N (n:nat) | A (a:addr) .

**Inductive** IO : Type -> Type :=

| **Alloca** : IO addr

| **Load** :  $\forall$  (a:addr), (IO val)

| **Store** :  $\forall$  (a:addr) (v:val), (IO unit)

| **Call** :  $\forall$  (f:funid) (v:val), (IO val)

.

**Definition** Interactions (X:Type) := M IO X.

**Global Instance** functor\_IO : Functor Interactions := (@mapM IO).

**Global Instance** monad\_IO : (@Monad Interactions) (@mapM IO) := ...

**Global Instance** equiv\_ixns {X} : (@Equiv (Interactions X)) := EquivUpToTau eq.

End Mem.

instantiate the monad



# External Interface

Module Ext.

```
Inductive I0 : Type -> Type :=  
| Call : ∀ (f:funid) (v:Mem.val), (I0 Mem.val)  
.
```

} Interface contains  
only the external call

```
Definition Interactions (X:Type) := M I0 X.
```

```
Global Instance functor_I0 : Functor Interactions := (@mapM I0).
```

```
Global Instance monad_I0 : (@Monad Interactions) (@mapM I0) := ...
```

```
Global Instance equiv_ixns {X} : (@Equiv (Interactions X)) := EquivUpToTau eq.
```

End Ext.

# Memory Model

Transduces memory interactions to external traces.

**Definition** `MemoryModel` :=  
 $\forall X, \text{Mem.Interactions } X \rightarrow \text{Ext.Interactions } X.$



# MM Implementation

**Definition** memory := list Mem.val.

**Definition** load (m:memory) (a:nat) := nth\_default (Mem.N 0) m a.

**Definition** store (m:memory) := replace m.

(\* Effects handlers \*)

**Definition** mem\_step X (io : Mem.IO X) m : (memory \* X) + (Ext.IO X) :=  
match io with  
| Mem.Alloca => inl (m ++ [Mem.N 0], (length m))  
| Mem.Load a => inl (m, load m a)  
| Mem.Store a v => inl (store m a v, ())  
| Mem.Call f v => inr (Ext.Call f v)  
end.

(\* Map the handler over the trace \*)

Definition mem (m:memory) : MemoryModel :=

fun X t =>

(cofix go (m:memory) t :=

match t with

| Tau d' => Tau (go m d')

| Vis io k =>

match mem step io m with

| inl (m', v) => Tau (go m' (k v))

| inr eo => Vis eo (fun v => go m (k v))

end

| Ret x => Ret x

| Err x => Err x

end) m t.

interpret the  
effects



# Metatheory

**Lemma** mem\_eutt :  $\forall X (s\ t : \text{Mem.Interactions } X),$   
s  $\equiv$  t  $\rightarrow$   
 $\forall m, (\text{mem } m\ s) \equiv (\text{mem } m\ t).$

**Lemma** mem\_swap :  $\forall \{X\} m\ a\ b\ v1\ v2 (k1\ k2 : () \rightarrow \text{Mem.Interactions } X),$   
a  $\langle\rangle$  b  $\rightarrow$   
(k1 ())  $\equiv$  (k2 ())  $\rightarrow$   
(mem m (Vis (Mem.Store a v1) (fun \_ => Vis (Mem.Store b v2) k1)))  
 $\equiv$   
(mem m (Vis (Mem.Store b v2) (fun \_ => Vis (Mem.Store a v1) k2))).

**Lemma** mem\_load2 :  $\forall \{X\} m\ a (k : \text{Mem.val} \rightarrow \text{Mem.val} \rightarrow \text{Mem.Interactions } X),$   
(mem m (Vis (Mem.Load a) (fun v => Vis (Mem.Load a) (fun w => k v w))))  
 $\equiv$   
(mem m (Vis (Mem.Load a) (fun w => k w w))).

# Programming Language / IR

```
Inductive cmd :=  
| LET (v:var) (e:exp)  
| ALLOCA (x:var)  
| LOAD (x:var) (e:exp)  
| STORE (e1:exp) (e2:exp)  
| CBR (e:exp) (b1 b2:list cmd)  
| ...  
.
```

Define IR language using standard techniques...  
(mostly omitted here)

# Operational Semantics

```
Definition interpret (e:env) (code:list cmd) : Mem.Interactions unit :=  
  (cofix go (e:env) (code:list cmd) : Mem.Interactions unit :=  
    match code with  
    | [] => Ret ()  
  
    | LET x exp :: k =>  
      do v <- eval e exp;  
      Tau (go (add e x v) k)  
  
    | LOAD x exp :: k =>  
      do a <- eval e exp;  
      match a with  
      | Mem.A a => Vis (Mem.Load a) (fun v => go (add e x v) k)  
      | _ => raise "loaded non-address"  
    end
```

Interpret the program in  
the interaction tree monad

...

# Operational Semantics

```
Definition interpret (e:env) (code:list cmd) : Mem.Interactions unit :=
  (cofix go (e:env) (code:list cmd) : Mem.Interactions unit :=
    match code with
    | [] => Ret ()

    | LET x exp :: k =>
      do v <- eval e exp;
      Tau (go (add e x v) k)

    | LOAD x exp :: k =>
      do a <- eval e exp;
      match a with
      | Mem.A a => Vis (Mem.Load a) (fun v => go (add e x v) k)
      | _ => raise "loaded non-address"
    end
```

Use Tau to "hide" internal steps

...

# Operational Semantics

```
Definition interpret (e:env) (code:list cmd) : Mem.Interactions unit :=
  (cofix go (e:env) (code:list cmd) : Mem.Interactions unit :=
    match code with
    | [] => Ret ()

    | LET x exp :: k =>
      do v <- eval e exp;
      Tau (go (add e x v) k)

    | LOAD x exp :: k =>
      do a <- eval e exp;
      match a with
      | Mem.A a => Vis (Mem.Load a) (fun v => go (add e x v) k)
      | _ => raise "loaded non-address"
    end
```

Expose other effects  
like "load" using Vis.



...

**Lemma** `load_elim` :  $\forall x y \text{ ex } m \text{ e } \text{code},$   
`not_used_in x ex ->`  
`(mem m (interpret e ([LOAD x ex; LOAD y ex]++code)))`  
 $\equiv$   
`(mem m (interpret e ([LOAD x ex; LET y (Var x)]++code))).`

In this (sequential) memory model, two loads of the same address can be replaced by one load and a "let".



(demo)

1. Explain Interaction Trees
2. Describe "toy" Vellvm
3. Come back to DeepSpec

# Network IO

```
(* IO interactions for sockets *)
Inductive networkE : Type -> Type :=
| Listen : endpoint_id -> networkE unit
| Accept : endpoint_id -> networkE connection_id
| ConnectTo : endpoint_id -> networkE connection_id
| CloseConn : connection_id -> networkE unit
| Recv : connection_id -> positive -> networkE (option string)
| Send : connection_id -> string -> networkE unit
.
```

# OS-level API

(\* OS-level refinement of Network-level Spec \*)

```
Inductive SocketAPI1 : Type -> Type :=
| Socket_Socket (domain : Z) (type : Z) (protocol : Z) :
    SocketAPI1 (SocketError + sockfd)
| Socket_Close (fd : sockfd): SocketAPI1 (SocketError + unit)
| Socket_BindAndListen (fd : sockfd) : SocketAPI1 (SocketError + unit)
| Socket_Accept (fd : sockfd) : SocketAPI1 (SocketError + sockfd)
| Socket_Recv (fd : sockfd) (num_bytes : Z):
    SocketAPI1 (SocketError + string)
| Socket_Send (fd : sockfd) (msg : string):
    SocketAPI1 (SocketError + unit)
```

.

# Fancier IO Specs

## Combinators at the Event level

- to "mix and match" behaviors
- made palatable via typeclasses

(\* Example: combine nondeterminism, failure, Sockets \*)

**Definition** SocketM (T : Type) :=  
(nondetE +' failureE +' SocketAPI.SocketAPI1) T.

# Uses

- Writing effectful programs in Coq
- Giving specifications by "zipping"
  - relating a "client" to a "server"
  - for testing / proving
- Transducers: change levels of abstraction
  - e.g. from "high-level" LLVM memory model to "low-level" machine model

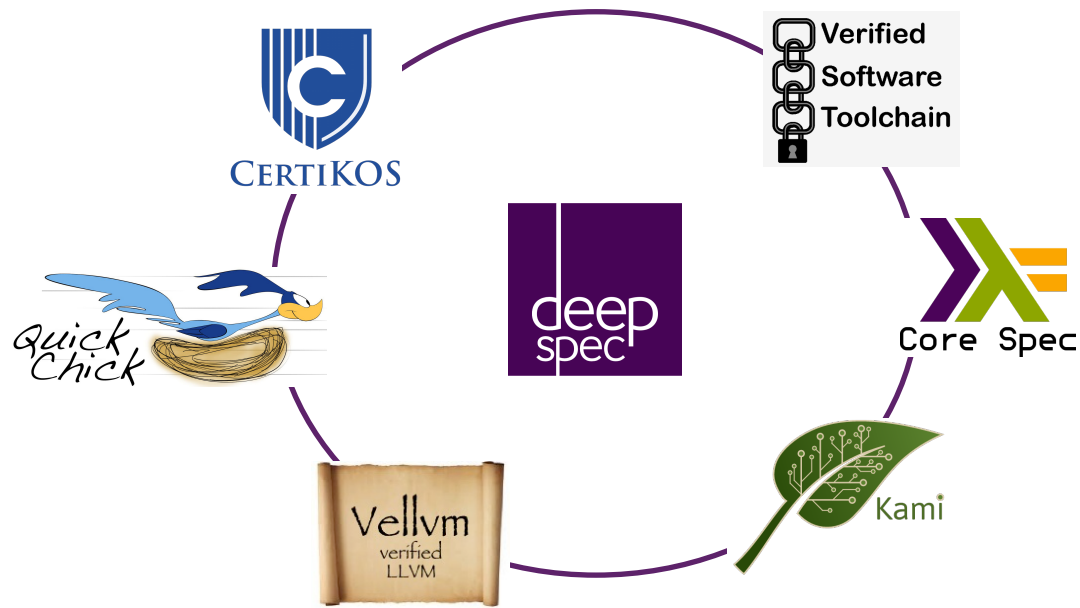
# Technical Challenges

- Coinduction in Coq
  - syntactic productivity constraints are a pain
  - Gil Hur's paco library helps (somewhat)
- Proofs of some basic facts surprisingly tricky to prove
  - e.g. congruence of bind up to Tau
  - several possible ways to define EquivUpToTau

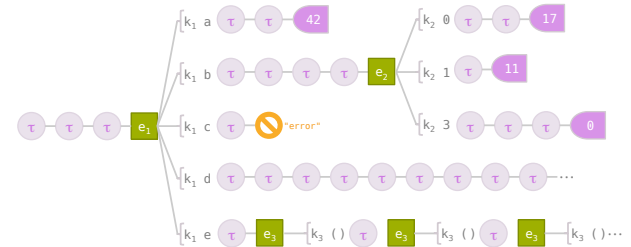
# Work in Progress

- Library & automation support for Interaction Trees
- Verified Software Toolchain
  - Interaction trees as "ghost state" in separation logic
  - connection to CompCert
- Vellvm proofs about more complex memory models
  - int2ptr / ptr2int
- Using the new Vellvm semantics for interesting optimizations





[deepspec.org](https://deepspec.org)  
[github.com/vellvm/vellvm](https://github.com/vellvm/vellvm)



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Interaction Trees  
 promising abstraction for  
 Coq formalization  
 of interactive behaviors



```

Definition bind_body {E X Y}
  (s : M E X)
  (go : M E X -> M E Y)
  (t : X -> M E Y) : M E Y :=
  match s with
  | Ret x => t x
  | Vis e k => Vis e (fun y => go (k y))
  | Tau k => Tau (go k)
  | Err s => Err s
  end.

```

```

Definition bindM {E X Y}
  (s : M E X)
  (t : X -> M E Y) : M E Y :=
  (cofix go (s : M E X) :=
    bind bodv s ao t) s.

```